NEPR Peer Review Flow Chart Information Required for Scheduling Reviews letter sent letter sent 6-9 months before due date or as applicable with instructions on completing Scheduling Form. Option 1 Option 2 NEPR sends request FIRM downloads & submits scheduling form to NEPR FIRM contacts reviewer N|E|P|R for reviewer scheduling form to NEPR to schedule review information to firm without reviewer information FIRM contacts FIRM downloads & submits FIRM completes and scheduling form with reviewer to returns scheduling form reviewer information schedule review NEPR **NEPR** determines FIRM and/or reviewer resolve if reviewer is questions qualified Review may be selected for on-site oversight at this step the firm and NEPR NEPR sends confirmation letters to firm and reviewer reviewer will receive notification. REVIEWER contacts firm to arrange review REVIEWER performs review, issues report and completes workpapers YES Review report a FIRM submits LOR to 'Pass'? NEPR when report is 'pass w/ deficiency' or 'fail' REVIEWER sends selected workpapers to NEPR NO **NEPR** performs administrative & pre-tech review of workpapers NEPR NO NEPR contacts reviewer _ Workpapers to resolve questions complete? YES TECHNICAL REVIEWER performs evaluation TECHNICAL REVIEWER contacts reviewer to resolve Report & LOR questions / issues appropriate and well supported by workpapers? NEPR Report Acceptance Body (RAB) reviews workpapers and technical reviewer's **ISSUES WITH REPORT** NEPR resolves issues with firm, reviewer or technical reviewer **NEPR** Executive Review selected for in-house Committee oversight. accepts report? NO FIRM ASSIGNED CORRECTIVE ACTIONS NEPR sends firm letter of required corrective action(s) YES Firm completes required corrective action(s) NEPR NEPR sends acceptance letter to firm